SUBSTITUTE FORM PTO-1390 (REV. 12-2004) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING LINDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER T1118/20079

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/521994

		CONCERNING A FILING	40/5/1794							
INTERNATIONAL APPLICATION NO.			INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED						
PCT/US2004/021831			July 8, 2004	July 8, 2003						
TITLE OF INVENTION			STEROID LIPID-MODIFIED POLYURETHANE AS AN IMPLANTABLE BIOMATERIAL, THE PREPARATION AND USES THEREOF							
APPLICANT(S) FOR DO/EO/US			Robert J. LEVY, et al.							
		ant herewith submits to the United ation:	States Designated/Elected Office (DO/E	EO/US) the following items and other						
1.	Ø	This is a FIRST submission of	items concerning a submission under	35 U.S.C. 371.						
2.		This is a SECOND or SUBSEQ	ENT submission of items concerning a submission under 35 U.S.C. 371.							
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4.	\boxtimes	The US has been elected (Artic	The US has been elected (Article 31).							
5.	\boxtimes	A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
	a. \square is attached hereto (required only if not communicated by the International Bureau).									
	b. \square has been communicated by the International Bureau.									
	c. ⊠ is not required, as the application was filed in the United States Receiving Office (RO/US).									
6.	\boxtimes	An English language translation of	of the International Application as filed (3	5 U.S.C. 371(c)(2)).						
		a. is attached hereto.								
		b. 🛛 has been previously subm	itted under 35 U.S.C. 154(d)(4).							
7.	\boxtimes	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))								
		a. \square are attached hereto (required only if not communicated by the International Bureau).								
		b. \square have been communicated by the International Bureau.								
		c. 🛮 have not been made; however, the time limit for making such amendments has NOT expired.								
		d. I have not been made and will not be made.								
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).								
9.		An oath or declaration of the inv	entor(s) (35 U.S.C. 371(c)(4)).							
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report us Article 36 (35 U.S.C. 371(c)(5)).										
	ite	ms 11 to 20 below concern docu	ment(s) or information included:							
11.		An Information Disclosure Statem	ent under 37 CFR 1.97 and 1.98.							
12.		An assignment document for recoincluded.	ording. A separate cover sheet in complia	ance with 37 CFR 3.28 & 3.31 is						
13.	\boxtimes	A preliminary amendment		•						
14.	\boxtimes	An Application Data Sheet under	37 CFR 1.76.							
15.	\boxtimes	A substitute specification (clean c	opy and marked-up copy)							
16.		A power of attorney and/or change	e of address letter.							
17.		A computer-readable form of the s 1.825.	sequence listing in accordance with PCT	Rule 13 <i>ter.</i> 2 and 35 U.S.C. 1.821 -						
18.		A second copy of the published In	ternational Application under 35 U.S.C.	154(d)(4).						
19.		A second copy of the English lang	juage translation of the International App	plication under 35 U.S.C. 154(d)(4).						
20.	Ø	Other items or information: Writte postcard	en Opinion, Abstract, Express Mail Trans	smittal EL 962210701 US, return receipt						

U.S. APPLICATION	ON NO. (If known, se	ATTORNEY'S DOCKET NO.								
7(<u> </u>	T1118/20079								
21. The following fees are submitted:										
🛛 c) Basic r	national fee	\$300.00								
🛛 b) Examin	nation fee	\$200.00								
🛛 c) Search	fee	\$500.00								
	TOTA	\$1000.00								
Additional fee for specification and drawings filled in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.										
Total Sheets	Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number)									
- 100 =	/50 =	Y		x \$250.00	\$					
	130.00 for furnish d priority date (37	\$								
CLAIMS	NO. FILED		NUMBER EXTRA	RATE	\$					
Total claims	40		. 20	x \$50.00	\$1000.00					
Independent	2			x \$200.00	\$					
MULTIPLE DE	PENDENT CLAIN	1(S) (if applicat	ole)	+ \$360.00	\$					
			TOTAL OF ABOVE CALCUI	ATIONS =	\$1000.00					
	Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.									
	<u>- </u>	\$1000.00								
	of \$130.00 for functions	\$								
			TOTAL NATIO	NAL FEE =	\$1000.00					
	ing the enclosed a	\$								
		\$1000.00								
		Amount to be refunded:	\$							
					Amount to be charged:	\$1000.00				
a. A check in the amount of \$to cover the above fees is enclosed.										
b. Please charge my Deposit Account No. 03-0075 in the amount of \$1000.00 to cover the above fees. A duplicate copy of this sheet is enclosed.										
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-0075 . A duplicate copy of this sheet is enclosed.										
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the Application to pending status.										
SEND ALL CORRESPONDENCE TO: Mails 2 Oal										
SIGNATURE										
	Customer No.		·							
	REGISTRATION NUMBER									
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DT ec'd PCT/PTO 19 JAN 2005

Attny Docket No. T1118/20079 PTO Customer No. 03000

Applicants

Robert J. LEVY, et al.

Title

STEROID LIPID-MODIFIED POLYURETHANE

AS AN IMPLANTABLE BIOMATERIAL, THE

PREPARATION AND USES THEREOF

The following documents are submitted for the above-identified invention for entry into the U.S. National stage under 35 U.S.C. §371, based on the International Application No. PCT/US2004/021831, which includes the following:

1. Application Data Sheet;

- 2. Transmittal Letter, with Calculation Sheet, in duplicate;
- 3. Preliminary Amendment;
- 4. Substitute Specification (clean);
- 5. Substitute Specification (marked-up);
- 6. Abstract;
- 7. Written Opinion; and,
- 8. Return Receipt Post Card.

"Express Mail" Mailing Label No. EL 962210701 US Date of Deposit: January 19, 2005

I hereby certify that the above-identified documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: MAIL STOP PCT, ATTENTION: RO/EO/US, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA, 22313-1450

Marie Trahey